



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/917,854 | 07/31/2001 | Kunihiro Tsubosaki | DAIN: 644 |

CONFIRMATION NO. 2156

FORMALITIES LETTER



OC000000006581966

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, VA 22314-2805



Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

11/19/2001 GTEFFERA 00000063 09917854

01 FC:139 130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Sandy
Customer Service Center

Initial Patent Examination Division (703) 308-1202

11/19/2001 GTEFFERA 00000011 09917854

01 FC:101 740.00 OP
02 FC:105 130.00 OP

~~11/19/2001 GTEFFERA 00000012 09917854~~~~01 FC:105 130.00 OP~~

09917854-46321650

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09947894-111601



Receipt #31
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kunihiro TSUBOSAKI

Serial No.: 09/917,854

Filed: July 31, 2001

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE
SEMICONDUCTOR DEVICE

SUBMISSION OF ORIGINAL EXECUTED APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

Sir:

The above identified application was duly filed on July 31, 2001, in the Japanese language. Accordingly, submitted herewith is a Verified English-language Translation of the application. Also, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1,040.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.17(i), \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331
PARKHURST & WENDEL

09/917,854-1150